

03-05-02

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. UC99-112-3First Named Inventor or Application Identifier Song et al.Title Promoters of Neural Regeneration

ET 952622946 US

Express Mail Label No. ET952622946US

560060/01  
 02/28/02  
 J986 U.S. PTO 98637

ADDRESS TO: Commissioner for Patents  
 P.O. Box 2327  
 Arlington, VA 22202, Box Patent Application

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. X \*Fee Transmittal Form  
 (Submit an original, and a duplicate for fee processing)
2. X Applicant claims small entity status (see 37 CFR 1.27)
3. X Specification (Total Pages 22)  
 (preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4. X Drawings(s) (35 USC 113) (Total Sheets 9)
5. X Oath or Declaration (Total Pages 3)
  - a.      Newly Executed (Original or Copy)
  - b. X Copy from a Prior Application (37 CFR 1.63(d))  
 (for Continuation/Divisional with Box 17 completed)
  - i.      DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.      Application Data Sheet. See 37 CFR 1.76
7.      CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.      Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

12/01/97

- 1 -

PTO/SB/05 (12/97)

02/28/02  
 11062 U.S. PTO

- a. ☐ Computer Readable Form (CRF)  
b. ☐ Specification Sequence Listing on: i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper  
c. ☐ Statement verifying identity of above copies  
d. ☐ Request to use CRF from another application

### ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & documents(s))  
10. ☐ 37 CFR 3.73(b) Statement (where there is an assignee)  
☐ Power of Attorney  
11. ☐ English Translation Document (if applicable)  
12. ☒ a. Information Disclosure Statement (IDS)/PTO-1449  
☐ b. Copies of IDS Citations  
13. ☐ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16. ☒ Other: Transmittal letter (1p)

### 17. Priority

This application claims priority to prior application No: 09/900,268, filed July 6, 2001, having the same title and inventors

Prior application information: Examiner \_\_\_\_\_ Group Art Unit 1617

The entire disclosure of the prior application, from which an oath or declaration is supplied under 5b, is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 18. Correspondence Address



☒ Customer Number or Bar Code Label

23379  
(Insert Customer No. or Attach Bar Code Label here)

or

PATENT TRADEMARK OFFICE

☒ Correspondence Address Below

NAME Richard Aron Osman

SCIENCE & TECHNOLOGY LAW GROUP

ADDRESS 75 Denise Drive

CITY Hillsborough STATE California ZIP CODE 94010

Country U.S.A. TELEPHONE (650) 343-4341 FAX (650) 343-4342

Name: Richard Aron Osman Registration No: 36,627

Signature: [Signature]

Date: February 27, 2002

**FEE TRANSMITTAL FOR FY 2002**

Fees are subject to annual revision

**TOTAL AMOUNT OF PAYMENT (\$)** \$513.00**Complete if Known:**Application No. Not yet assignedFiling Date HerewithFirst Named Inventor Song et al.Group Art Unit Not yet assignedExaminer Name Not yet assignedAttorney Docket No. UC99-112-3**METHOD OF PAYMENT (check one)**1. ☒ [ X ] The Commissioner is hereby authorized to charge indicated fees to:☒ [ X ] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any over payments to:☒ [ X ] Applicant claims small entity status. See 37 CFR 1.27Deposit Account Number 19-0750Deposit Account Name Science & Technology Law Group2. ☒ X Payment Enclosed☒ X Check☐ Money Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>		
101	740	201	370	Utility application filing fee	<u>370.</u>
106	330	206	165	Design application filing fee	<u>          </u>
107	510	207	255	Plant filing fee	<u>          </u>
108	740	208	370	Reissue filing fee	<u>          </u>
114	160	214	80	Provisional application filing fee	<u>          </u>
<b>SUBTOTAL (1)</b>					<b>\$ 370.00</b>

**2. CLAIMS**

			<u>Extra</u>		<u>Fee from below</u>		<u>Fee Paid</u>
Total Claims	<u>27</u>	- 20 =	<u>7</u>	X	<u>9.</u>	=	<u>63.</u>
Independent Claims	<u>1</u>	- 3 =	<u>0</u>	X	<u>          </u>	=	<u>0</u>
Multiple Dependent Claims			<u>0</u>	X	<u>0</u>	=	<u>0</u>

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>		
103	18	203	9	Claims in excess of twenty	<u>63.</u>
102	84	202	42	Independent claims in excess of 3	<u>0</u>
104	280	204	140	Multiple dependent claim	<u>0</u>
109	84	209	42	Reissue independent claims over original patent	<u>0</u>
110	18	210	9	Reissue claims in excess of 20 and over original patent	<u>0</u>
<b>SUBTOTAL (2)</b>					<b>\$ 63.00</b>

# **FEE CALCULATION (continued)**

## **3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	400	216	200	Extension for response within second month	_____
117	920	217	460	Extension for response within third month	_____
118	1,440	218	720	Extension for response within fourth month	_____
128	1,960	228	980	Extension for response within fifth month	_____
119	320	219	160	Notice of Appeal	_____
120	320	220	160	Filing a brief in support of an appeal	_____
121	280	221	140	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,280	241	640	Petition to revive unintentionally abandoned application	_____
142	1,280	242	640	Utility issue fee (or reissue)	_____
143	460	243	230	Design issue fee	_____
144	620	244	310	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	180	126	180	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	<u>80.</u>
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(a))	_____
Other fee (specify) _____					_____
Other fee (specify) _____					_____

**SUBTOTAL (3) \$ 80.00**

\*Reduced by Basic Filing Fee Paid

## **SUBMITTED BY:**

Typed or Printed Name: Richard Aron Osman

Signature  Date February 27, 2002

Reg. Number 36,627 Deposit Account User ID \_\_\_\_\_  
(complete if applicable)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Song et al.

Group Art Unit: Not yet assigned

Serial No. Not yet assigned

Examiner: Not yet assigned

Filed: Herewith

Attorney Docket No. UC99-112-2

For: *Promoters of Neural  
Regeneration*

Date: February 27, 2002

This application is a CON of USSN 09/900,268, filed July 6, 2001 which is a DIV of USSN 09/145,820, filed September 2, 1998, now US Pat. No. 6,268,352.

TRANSMITTAL

The Commissioner for Patents  
Washington, DC 20231

Dear Commissioner:

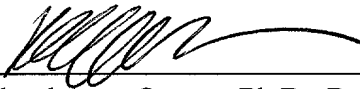
The enclosed specification and drawings are identical to those of prior application no. 09/900,268 except for updating the cross-reference to related applications section and inserting a new set of claims.

Claims 1-27 are identical to issued claims 1 and 3-28 of US Pat No.6,268,352 except that the administering, detecting and injury limitations of issued claims 31 and 58 are incorporated into new claim 1. In addition, new claim 3 is amended to make the same typographical correction made to issued claim 4 in our request for certificate of correction in US Pat No.6,268,352, and new claim 27 is corrected from issued claim 28 to recite the antecedent neuron.

This submission introduces no new matter.

We hereby petition for and authorize charging to our Deposit Account No. 19-0750 all necessary extensions of time. The Commissioner is hereby authorized to charge any fees or credit any overcharges relating to this communication to our Deposit Acct No. above (order UC99-112-3).

Respectfully submitted,  
SCIENCE & TECHNOLOGY LAW GROUP

  
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